

05/29/01

JCS-001 U.S. PTO

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UTILITY PATENT APPLICATION TRANSMITTAL

Attorney Docket No. 13499CIP
 First Inventor Charles W. Kellum
 Title PROCESSOR SYSTEMS..HARDWARE
 Express Mail Label No.

(Only for new nonprovisional applications under 37 CFR 1.53(b))

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)
 (Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status.
 See 37 CFR 1.27.
3. ☒ Specification [Total Pages 53]
 (preferred arrangement set forth below)
 - Descriptive title of the invention
 - Cross Reference to Related Applications
 - Statement Regarding Fed sponsored R & D
 - Reference to sequence listing, a table,
 or a computer program listing appendix
 - Background of the Invention
 - Brief Summary of the Invention
 - Brief Description of the Drawings (if filed)
 - Detailed Description
 - Claim(s)
 - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 13]
5. Oath or Declaration [Total Pages 3]
 a. ☒ Newly executed (original or copy)
 b. ☐ Copy from a prior application (37 CFR 1.63 (d))
 (for continuation/divisional with Box 18 completed)
 i. ☐ **DELETION OF INVENTOR(S)**
 Signed statement attached deleting inventor(s)
 named in the prior application, see 37 CFR
 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

ADDRESS TO:

Assistant Commissioner for Patents
 Box Patent Application
 Washington, DC 20231

7. ☐ CD-ROM or CD-R in duplicate, large table or
 Computer Program (Appendix)
8. Nucleotide and/or Amino Acid Sequence Submission
 (if applicable, all necessary)
 a. ☐ Computer Readable Form (CRF)
 b. Specification Sequence Listing on:
 i. ☐ CD-ROM or CD-R (2 copies); or
 ii. ☐ paper
 c. ☐ Statements verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

9. ☒ Assignment Papers (cover sheet & document(s))
 10. ☐ 37 CFR 3.73(b) Statement of Power of Attorney
 (when there is an assignee)
 11. ☐ English Translation Document (if applicable)
 12. ☐ Information Disclosure Statement (IDS)/PTO-1449
 13. ☐ Preliminary Amendment
 14. ☒ Return Receipt Postcard (MPEP 503)
 (Should be specifically itemized)
 15. ☐ Certified Copy of Priority Document(s)
 (if foreign priority is claimed)
 16. ☐ Request and Certification under 35 U.S.C. 122
 (b)(2)(B)(i). Applicant must attach form PTO/SB/35
 or its equivalent.
 17. ☒ Other: Claim for Small Entity

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment,
 or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No. 09, 198,411

Prior application information

Examiner

Group

Art Unit

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under
 Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference.
 The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

☒ Customer Number or Bar Code Label 000293 or ☐ Correspondence address below
 (Print Customer No. or Address for correspondence here)

Name Dowell, Ralph A.
 DOWELL & DOWELL, P.C.
 Address Suite 309, 1215 Jefferson Davis Highway
 City Arlington State VA Zip Code 22202
 Country US Telephone 703 415 2555 Fax 703 415 2559

Name (Print/Type) Ralph A. Dowell Registration No. (Attorney/Agent) 26, 868
 Signature Date 29 May 2001

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 the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC
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 Washington, DC 20231.

09/655464

10/29/01

FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 404.00

Complete if Known

Application Number	
Filing Date	29 May 2001
First Named Inventor	Kellum
Examiner Name	
Group Art Unit	
Attorney Docket No.	13499CIP

METHOD OF PAYMENT

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:
- Deposit Account Number: 04-1577
- Deposit Account Name:
- ☒ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17
- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. Payment Enclosed:

☒ Check ☐ Credit card ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
	(5)	(5)		
101 710 201 355			Utility filing fee	355
106 320 206 160			Design filing fee	
107 490 207 245			Plant filing fee	
108 710 208 355			Reissue filing fee	
114 150 214 75			Provisional filing fee	

SUBTOTAL (1) (\$) 355

2. EXTRA CLAIM FEES

Extra Claims	Fee from below	Fee Paid
Total Claims - 20** = 1	X 9	= 9
Independent Claims - 2 - 3** =	X	=
Multiple Dependent		=

Large Entity Small Entity	Fee Code	Fee Code	Fee Description
	(5)	(5)	
103 18 203 9			Claims in excess of 20
102 80 202 40			Independent claims in excess of 3
104 270 204 135			Multiple dependent claim, if not paid
109 80 209 40			** Reissue independent claims over original patent
110 18 210 9			** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 9

**For number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Small Entity	Fee Code	Fee Code	Fee Description	Fee Paid
	(5)	(5)		
105 130 205 65			Surcharge - late filing fee or oath	
127 50 227 25			Surcharge - late provisional filing fee or cover sheet	
139 130 139 130			Non-English specification	
147 2,520 147 2,520			For filing a request for ex parte reexamination	
112 920* 112 920*			Requesting publication of SIR prior to Examiner action	
113 1,840* 113 1,840*			Requesting publication of SIR after Examiner action	
115 110 215 55			Extension for reply within first month	
116 390 216 195			Extension for reply within second month	
117 890 217 445			Extension for reply within third month	
118 1,390 218 695			Extension for reply within fourth month	
128 1,890 228 945			Extension for reply within fifth month	
119 310 219 155			Notice of Appeal	
120 310 220 155			Filing a brief in support of an appeal	
121 270 221 135			Request for oral hearing	
138 1,510 138 1,510			Petition to institute a public use proceeding	
140 110 240 55			Petition to revive - unavoidable	
141 1,240 241 620			Petition to revive - unintentional	
142 1,240 242 620			Utility issue fee (or reissue)	
143 440 243 220			Design issue fee	
144 600 244 300			Plant issue fee	
122 130 122 130			Petitions to the Commissioner	
123 50 123 50			Processing fee under 37 CFR 1.17(q)	
126 180 126 180			Submission of Information Disclosure Stmt	
581 40 581 40			Recording each patent assignment per property (times number of properties)	40
146 710 246 355			Filing a submission after final rejection (37 CFR § 1.129(a))	
149 710 249 355			For each additional invention to be examined (37 CFR § 1.129(b))	
179 710 279 355			Request for Continued Examination (RCE)	
169 900 169 900			Request for expedited examination of a design application	

Other fee (specify):

SUBTOTAL (3) (\$) 40

*Reduced by Basic Filing Fee Paid

SUBMITTED BY

Name (Print/Type): Ralph A. Dowell Registration No. 26,868 Telephone 703 415 2555

Signature: [Signature] Date: 29 May 2001

Complete (if applicable)

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

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